

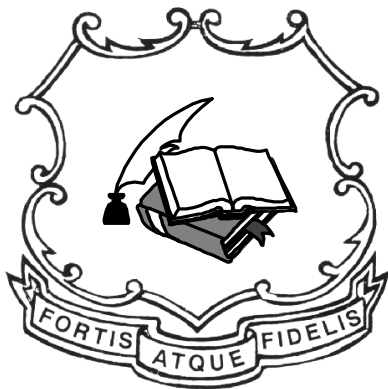
# **THE 2008 MLROs.COM ANNUAL CONFERENCE**

**The Conference for Practitioner MLROs and Senior Executives**

**04 DECEMBER**

**AT THE CHESTERFIELD HOTEL**

35 Charles Street, Mayfair, London, W1J 5EB  
(Nearest Underground Station: Green Park)



**AN IMPORTANT FORUM FOR SENIOR EXECUTIVES  
AND MLRO PROFESSIONALS FOCUSING ON  
UNDERSTANDING THE MAJOR ISSUES  
ENCOUNTERED IN REAL LIVE SITUATIONS.**

**ORGANISED BY THE COMPLIANCE REGISTER**

Telephone: (01908) 322450, Fax: (01908) 220213  
Email: [Compliance.Register@btinternet.com](mailto:Compliance.Register@btinternet.com)

Websites: [www.ComplianceR.com](http://www.ComplianceR.com); [www.MLROs.com](http://www.MLROs.com)

## THE 2008 MLROs.COM ANNUAL CONFERENCE

### WHY YOU SHOULD ATTEND?

The objectives of this Annual Conference are to:-

- Address key developments and challenges facing MLRO professionals and senior executives in 2009 and beyond; and
- Provide a forum for MLRO professionals and senior executives to network with each other and to share knowledge and experience.

The key sessions being addressed by leading practitioners are:

- Recent Proceeds of Crime Act developments and cases.
- How a serious organised crime gang was busted.
- Things you should know when the Crown Prosecution Service tries to prosecute you, the MLRO.
- Monitoring to prevent internal and external fraud.
- Terrorist financing update.
- How I catch fraudsters.
- SOCA Update – where we are now and what we expect from MLROs.
- Ask the Panel – Q & A Session

**IMPORTANT NOTE:** For registrations received by 31 October, the conference fee includes, at no extra charge, attendance at The 2008 Compliance Register OStCaR (Outstanding Service to Compliance and Regulation) Awards on the evening of 8 December at London's 5-Star Landmark Hotel – *probably the most exciting "Black-Tie Champagne Dinner" event*. You are free to send a colleague in your place if you are unable to attend The OStCaR Awards. Besides giving recognition for excellence, The OStCaR Awards also raise funds for charities.

<u>Conference Fee</u>	<u>Fee</u>	<u>VAT</u>	<u>Total</u>
a) For one delegate:	490.00	85.75	<b>£575.75</b>
b) For two delegates:	833.00	145.78	<b>£978.78</b>
c) For three delegates:	1,176.00	205.80	<b>£1,381.80</b>

(IMPORTANT NOTICE: All the above prices includes *complimentary* admission to The 2008 OStCaR Awards only if your registration reaches The Compliance Register by 31 October.)

If your firm wishes to send 4 or more delegates, please contact us and we will work out a bespoke package for you.

### Reservations, Cancellations & Refunds

Space is limited. To avoid disappointment, please complete and return the Conference Registration Form by fax to (01908) 220213 as soon as possible followed by your cheque in the post. Places will be allocated on a "first come first served" basis based on receipt of your registration. Once you have faxed us your registration, we will regard it as a firm booking which will be subject to our refunds policy. Refunds, less an administration charge of £100 plus VAT will be made for cancellations received in writing not later than 14 calendar days before the conference. Thereafter no refunds will be made but substitute delegates are welcomed. A VAT receipt will be sent on request. Joining instructions will be sent by email, so please make sure we have your email address. The Compliance Register reserves the right to make changes to the programme, speakers or date of the conference should the need arise.

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04 December at The Chesterfield Hotel, London

*PROGRAMME*

<p><b>08.15</b> <i>Registration &amp; Coffee</i></p> <p><b>08.40</b> <i>Chairman's Introduction</i> <b>Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</b></p> <p>Session 1 <b>08.45</b> <i>Proceeds of Crime Act: Recent cases and developments</i> <b>Speaker: Daren Allen, Partner, DLA Piper</b></p> <p>Session 2 <b>09.45</b> <i>How a serious organised crime gang was busted</i> <b>Speaker: Detective Inspector Shane Roberts, BA (Hons), MA, Bedfordshire Police</b></p> <p><b>10.45</b> <i>Morning Coffee</i></p> <p>Session 3 <b>11.15</b> <i>Things an MLRO should know when facing prosecution by the Crown Prosecution Service</i> <b>Speaker: Stephen Judge, Compliance Officer and MLRO, Tradindex</b></p> <p>Session 4 <b>12.00</b> <i>Monitoring to prevent internal and external fraud</i> <b>Ben Hur, UK Compliance Officer and UK MLRO, NIBC Bank N.V.</b></p>	<p><b>12.45</b> <i>Lunch</i></p> <p>Session 5 <b>13.45</b> <i>SOCA Update: Where we are now and what we expect from MLROs including SARS.</i> <b>Speaker: Tracey Guthrie, Principal Dialogue Officer, UK Financial Intelligence Unit, SOCA.</b></p> <p>Session 6 <b>14.45</b> <i>How I Catch the Fraudsters</i> <b>Speaker: Ron Warmington, Director, Fraud Management &amp; Security, GE Money Europe, Middle East and Africa.</b></p> <p><b>15.30</b> <i>Afternoon Tea</i></p> <p>Session 7 <b>16.00</b> <i>NTFIU: Terrorist Financing Investigations and SARS Update</i> <b>Speaker: Detective Constable Graham White, NTFIU/City of London Police</b></p> <p>Session 8 <b>17.00</b> <i>Ask the Panel.</i> <b>(Panellists to include speakers and guests)</b></p> <p><b>17.15</b> <i>Conclusion</i></p>
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**Law Society CPD Accreditation**

Members of the Law Society (England & Wales) attending this conference should qualify for 6 hours of CPD credit. Members of other professional bodies may also claim CPD from their respective bodies. Certificates of attendance are available on request.

**Certificate in Compliance Studies ("CCS")**

The CCS will be awarded to those who attend the following four events namely Compliance Management & Practice (17 November), COBS Technical Conference (10 November), MLROs Annual Conference (4 December), and The FSMA Regulatory Regime (29 January 2009).

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04 December at The Chesterfield Hotel, London

**REGISTRATION FORM**

*(Please photocopy this form as required.)*

Mr, Mrs, Miss or Title	First Names
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Surname	Position
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Company
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Address
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Town
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Postcode	Telephone	Fax
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Email address
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Please describe any special dietary requirements.
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Please let us know whether you or a replacement colleague will be attending The OSTCaR Awards – if a colleague, please provide full name and contact details below:-
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**IMPORTANT NOTES**

1. Please make all cheques payable to The Compliance Register Limited and crossed “A/C Payee Only”.
2. Registration Forms should be sent by fax to 01908 220213 initially followed by the original and cheque in the post to:-  

Ben Goh, Secretary, The Compliance Register,  
Enterprise House, P O Box 843, Greenleys, Milton Keynes, MK12 6YZ,  
United Kingdom.
3. If you have any queries, please contact Ben Goh by telephone, direct line: (01908) 322450.
4. Updated versions of this brochure will be available: [www.ComplianceR.com](http://www.ComplianceR.com)
5. Information in this brochure is as known on 17 September 2008.

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